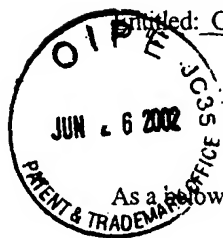


Applicant: Moser et al.

Entitled: COMPOSITIONS AND METHODS FOR PROMOTING OR INHIBITING ANGIOGENESIS



**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS {37 CFR 1.9 AND 1.27} - CLAIMANT OF PATENT RIGHTS**

As a now named Claimant, We hereby declare that:

We qualify as ☒ a Small Business Concern ☐ a Non-Profit Organization as defined in 37 CFR 1.9 for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled **COMPOSITIONS AND METHODS FOR PROMOTING OR INHIBITING ANGIOGENESIS** described in the specification filed herewith.

We have not assigned, granted, conveyed or licensed and are under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ No such person, concern, or organization  
☒ Persons, concerns or organizations listed below

Full Name \_\_\_\_\_

Address \_\_\_\_\_

☐ Individual ☒ Small Business Concern ☐ Nonprofit Organization

We acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. {37 CFR 1.28(b)}

I, the undersigned individual, warrant that I am an officer authorized to execute this document on behalf of the Claimant; I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Date: December 14, 2001

By: [Signature]  
Typed Name: Robert L. Taber  
Position: Vice Chancellor of Science and Technology Development



**RULE 63 (37 C.F.R. 1.63)**  
**DECLARATION AND POWER OF ATTORNEY**  
**FOR PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**ANGIOSTATIN RECEPTOR**

the specification of which (check applicable box(s)):

- ☐ is attached hereto  
☒ was filed on May 19, 1999 as U.S. Application Serial No. 09/314,159 (Atty Dkt. No. 1579-367)  
☐ was filed as PCT International application No. \_\_\_\_\_ on \_\_\_\_\_  
and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):

Application Number	Country	Day/Month/Year Filed
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I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

Application Number	Date/Month/Year Filed
60/086,155	19 May 1998
60/124,070	12 March 1999

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior applications in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. 1.56 which occurred between the filing date of the prior applications and the national or PCT international filing date of this application:

for U.S./PCT Application(s):

Application Serial No.	Day/Month/Year Filed
------------------------	----------------------

Status: patented  
pending, abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And I hereby appoint **NIXON & VANDERHYE P.C., 1100 North Glebe Rd., 8<sup>th</sup> Floor, Arlington, VA 22201-4714, telephone number (703) 816-4000** (to whom all communications are to be directed), and the following attorneys thereof (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent: Arthur R. Crawford, 25327; Larry S. Nixon, 25640; Robert A. Vanderhye, 27076; James T. Hosmer, 30184; Robert W. Faris, 31352; Richard G. Besha, 22770; Mark E. Nusbaum, 32348; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; Stanley C. Spooner, 27393; Leonard C. Mitchard, 29009; Duane M. Byers, 33363; Jeffry H. Nelson, 30481; John R. Lastova, 33149; H. Warren Bumam, Jr. 29366; Thomas E. Byrne, 32205; Mary J. Wilson, 32955; J. Scott Davidson, 33489; Alan M. Kagen, 36178; William J. Griffin, 31260; Robert A. Molan, 29834; B. J. Sadoff, 36663; James D. Berquist, 34776; Updeep S. Gill, 37334; Michael J. Shea, 34725; Donald L. Jackson, 41090; Michelle N. Lester, 32331; Frank P. Presta, 19828; Joseph S. Presta, 35329.\*

1. Inventor's Signature: Tammy Moser Date: 7-19-99  
Inventor: Tammy L. Moser U.S.A.  
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Residence: (city) Durham (state/country) North Carolina  
Post Office Address: 1209 Carolina Avenue, Durham, North Carolina  
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2. Inventor's Signature: Salvatore V. Pizzo Date: 7/15/99  
Inventor: Salvatore V. Pizzo U.S.A.  
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Residence: (city) Bahama (state/country) North Carolina  
Post Office Address: 10009 Stallion Way, Bahama, North Carolina  
(Zip Code) 27503
- Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Inventor: Mary S. Stack U.S.A.  
(first) (MI) (last) (citizenship)  
Residence: (city) Chicago (state/country) Illinois  
Post Office Address: 5434 North Lamon Avenue, Chicago, Illinois  
(Zip Code) 60630

FOR ADDITIONAL INVENTORS, check box ☐ and attach sheet with same information and signature and date for each.



RULE 63 (37 C.F.R. 1.63)  
DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION  
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As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**ANGIOSTATIN RECEPTOR**

the specification of which (check applicable box(s)):

☐ is attached hereto  
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Prior U.S./PCT Application(s):

Application Serial No.	Day/Month/Year Filed	Status: patented pending, abandoned
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And I hereby appoint NIXON & VANDERHYE P.C., 1100 North Glebe Rd., 8<sup>th</sup> Floor, Arlington, VA 22201-4714, telephone number (703) 816-4000 (to whom all communications are to be directed), and the following attorneys thereof (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent: Arthur R. Crawford, 25327; Larry S. Nixon, 25640; Robert A. Vanderhye, 27076; James T. Hosmer, 30184; Robert W. Faris, 31352; Richard G. Beshia, 22770; Mark E. Nusbaum, 32348; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; Stanley C. Spooner, 27393; Leonard C. Mitchard, 29009; Duane M. Byers, 33363; Jeffry H. Nelson, 30481; John R. Lastova, 33149; H. Warren Burnam, Jr. 29366; Thomas E. Byrne, 32205; Mary J. Wilson, 32955; J. Scott Davidson, 33489; Alan M. Kagen, 36178; William J. Griffin, 31260; Robert A. Molan, 29834; B. J. Sadoff, 36663; James D. Berquist, 34776; Updeep, S. Gill, 37334; Michael J. Shea, 34725; Donald L. Jackson, 41090; Michelle N. Lester, 32331; Frank P. Presta, 19828; Joseph S. Presta, 35329.\*

1.	Inventor's Signature: Inventor:	<u>Tammy</u> (first)	<u>L.</u> MI	<u>Moser</u> (last)	Date: _____	<u>U.S.A.</u> (citizenship)
	Residence: (city)	<u>Durham</u>	(state/country) <u>North Carolina</u>			
	Post Office Address:	<u>1209 Carolina Avenue, Durham, North Carolina</u>				
	(Zip Code)	<u>27705</u>				
2.	Inventor's Signature: Inventor:	<u>Salvatore</u> (first)	<u>V.</u> MI	<u>Pizzo</u> (last)	Date: _____	<u>U.S.A.</u> (citizenship)
	Residence: (city)	<u>Bahama</u>	(state/country) <u>North Carolina</u>			
	Post Office Address:	<u>10009 Stallion Way, Bahama, North Carolina</u>				
	(Zip Code)	<u>27503</u>				
3.	Inventor's Signature: Inventor:	<u>Mary</u> (first)	<u>S.</u> MI	<u>Stack</u> (last)	Date: <u>8/6/99</u>	<u>U.S.A.</u> (citizenship)
	Residence: (city)	<u>Chicago</u>	(state/country) <u>Illinois</u>			
	Post Office Address:	<u>5434 North Lamont Avenue, Chicago, Illinois</u>				
	(Zip Code)	<u>60630</u>				

FOR ADDITIONAL INVENTORS, check box ☐ and attach sheet with same information and signature and date for each.